

THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.

Summary Statement of Assets and Liabilities
(Not audited/reviewed by Certified Public Accountant)
As of 30 November 2019

Assets	Thousand Baht	Liabilities	Thousand Baht
Cash	60,607	Deposits	108,449,702
Interbank and money market items, net	20,924,577	Interbank and money market items, net	17,470,813
Claims on securities	-	Liabilities payable on demand	2,578,085
Derivatives assets	36,500,742	Liabilities to deliver securities	-
Investments, net	84,267,098	Financial liabilities designated at fair value through profit or loss	-
(with obligations Thousand Baht 40,010,571)		Derivatives liabilities	43,246,207
Investments in subsidiaries and associates, net	-	Debts issued and Borrowings	8,648,483
Loans to customers, net	65,933,586	Bank's liabilities under acceptances	-
Accrued interest receivables	205,649	Other liabilities	3,550,050
Customers' liabilities under acceptances	-	Total Liabilities	183,943,340
Properties foreclosed, net	15,520		
Premises and equipment, net	30,467	Head office and other branches of the same juristic person's equity	
Other assets, net	8,474,357	Funds to be maintained as assets under the Act	20,100,000
		Accounts with head office and other branches of the same juristic person, net	2,875,219
		Other reserves	201,279
		Retained earnings	9,292,765
		Total Head office and other branches of the same juristic person's equity	32,469,263
Total Assets	216,412,603	Total Liabilities and Head office and other branches of the same juristic person's equity	216,412,603

	Thousand Baht
Non-Performing Loan ¹¹ (net) as of 30 September 2019 (Quarterly)	48,393
(0.05 percents of total loans after allowance for doubtful accounts of Non-Performing Loans)	
Required provisioning for loan loss, as of 30 September 2019 (Quarterly)	777,283
Actual provisioning for loan loss, as of 30 September 2019 (Quarterly)	1,096,016
Loans to related parties	-
Loans to related asset management companies	-
Loans to related parties due to debt restructuring	-
Regulatory capital	20,099,795
(Capital adequacy ratio = 17.53 percents)	
Regulatory capital after deducting capital add-on arising from Single Lending Limit	20,099,795
(Regulatory capital ratio after deducting capital add-on arising from Single Lending Limit = 17.53 percents)	
Changes in assets and liabilities this quarter as of 30 November 2019 due to fine from violating the Financial Institution Business Act B.E. 2551, Section	-
Contingent liabilities	123,808,336
Avals to bills and guarantees of loans	3,065,895
Liabilities under unmatured import bills	1,315,378
Letters of credit	991,957
Other contingencies	118,435,106
¹¹ Non-Performing Loans (gross) as of 30 September 2019 (Quarterly)	217,488
(0.24 percents of total loans before allowance for doubtful accounts of Non-Performing Loans)	

Channel of capital maintenance information disclosure

For Commercial Bank

(under the Notification of the Bank of Thailand


Re: Public disclosure of Capital Maintenance for Commercial Banks)

Location of disclosure Website, www.about.hsbc.co.th/hsbc-in-thailand

Date of disclosure 3 September 2019

Information as of 30 June 2019

We certify here that this summary statement of assets and liabilities is completely, correctly and truly presented.


(NEERANUCH UPAPHATTAVANIJ)

Position CHIEF FINANCIAL OFFICER, THAILAND


(ASDAPORN VANABRIKSHA)

Position ACTING CHIEF EXECUTIVE OFFICER, THAILAND